



## RTS 28 - 2019

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## Professional clients

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### Equities

#### Venues

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity bands 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	67,89	59,56	72,67	27,33	
<b>XCSE</b>	17,41	13,33	69,08	30,92	
<b>XHEL</b>	10,16	9,53	77,23	22,77	
<b>CHIX</b>	2,14	11,83	0	100	
<b>CHID</b>	1,18	0,92	0	100	

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity bands 3 and 4				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	79,6	72,69	72,38	27,62	
<b>SSME</b>	6,57	5,09	65,16	34,84	
<b>XHEL</b>	4,22	6,61	71,36	28,64	
<b>XCSE</b>	4,02	3,11	72,79	27,21	
<b>FNSE</b>	1,95	2,39	67,24	32,76	

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity band 1 and 2				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	73,35	53,31	70	30	
<b>XHEL</b>	11,00	11,08	65,72	34,28	
<b>SSME</b>	7,42	15,65	66,86	33,14	
<b>FNSE</b>	2,57	7,35	61,88	38,12	
<b>XCSE</b>	1,96	3,14	74,17	25,83	



Brokers

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity bands 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	61,07	63,12			
<b>UBS</b> (5299007QVIQ7IO64NX37)	25,37	18,92			
<b>Carnegie ASA</b> (5967007LIEEXZX57BC18)	8,23	13,05			
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	5,27	4,92			

<b>Class of Instrument</b>	Equities – Shares & Depositary Receipts, Tick size liquidity bands 3 and 4				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	N				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	41,32	60			
<b>Carnegie ASA</b> (5967007LIEEXZX57BC18)	34,89	16,48			
<b>UBS</b> (5299007QVIQ71O64NX37)	17,73	19,19			
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	5,94	4,33			

<b>Class of Instrument</b>	Equities – Shares & Depositary Receipts, Tick size liquidity band 1 and 2				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	N				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	33,60	15,45			
<b>Carnegie ASA</b> (5967007LIEEXZX57BC18)	29,12	8,70			
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	22,02	53,96			
<b>UBS</b> (5299007QVIQ7IO64NX37)	15,27	21,90			





## Debt Instruments

### Venues

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>CASI</b>	56,13	53,83			
<b>OTC</b>	27,55	27,50			
<b>BMTF</b>	15,56	16,92			
<b>XSTO</b>	0,75	1,75			



## Currency Derivatives

### Brokers

Class of Instrument	Currency derivatives, Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Nordea</b> (213800HW2E5VN9FK1Y53)	73,39	57,23			
<b>SEB</b> (F3JS33DEI6XQ4ZBPTN86)	26,61	42,77			



## Structured Finance

### Venues

Class of Instrument	Structured finance instruments				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	73,02	36,67	59,09	40,91	
<b>FNSE</b>	26,81	62,5	37,33	62,67	
<b>XNGM</b>	0,17	0,83	100	0	



## Equity Derivatives

### Venues

Class of Instrument	Equity Derivatives, Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>SEED</b>	100,00	99,99	95,02	4,98	
<b>DKED</b>	0,00	0,01	0	100	



Class of Instrument	Equity Derivatives, Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>SEED</b>	100	100	84,29	15,71	



## Securitized Derivatives

### Venues

Class of Instrument	Securitized Derivatives, Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>NMTF</b>	62,51	88,26	67,43	32,57	
<b>FNSE</b>	37,49	11,74	9,2	90,8	

Class of Instrument	Securitized Derivatives, Other securitized derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	97,26	76,18	79,75	20,25	
<b>FNSE</b>	2,24	10,89	56,99	43,01	
<b>SSME</b>	0,43	9,84	25,4	74,6	
<b>NMTF</b>	0,03	0,16	100	0	
<b>XSAT</b>	0,03	2,42	98,39	1,61	



Brokers

Class of Instrument	Securitized Derivatives, Other securitized derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	65,22	0,13			
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	19,96	66,42			
<b>Carnegie ASA</b> (5967007LIEEXZX57BC18)	13,50	32,25			
<b>UBS</b> (5299007QVIQ7IO64NX37)	1,31	1,20			





## Exchange Traded Products

### Venues

Class of Instrument	Exchange traded products				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	89,42	77,16	45,6	54,4	
<b>XCSE</b>	7,07	12,52	61,43	38,57	
<b>CHIX</b>	1,69	9,66	0	100	

**Brokers**

Class of Instrument	Exchange traded products				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	56,45	97,57			
<b>Societe Generale</b> (O2RNE8IBXP4R0TD8PU41)	13,51	0,08			
<b>Jane Street</b> (5493002N1IVX6KHGYO08)	10,45	0,05			
<b>Flow Traders</b> (549300K64LZQW7R9ST34)	8,41	0,04			
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	7,24	1,86			



Other

Brokers

Class of Instrument	Stock Loan				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Nordea</b> (213800HW2E5VN9FK1Y53)	53,80	58,93			
<b>SEB</b> (F3JS33DEI6XQ4ZBPTN86)	26,41	20,49			
<b>SHB</b> (NHBDILHZTYCNBV5UYZ31)	19,79	20,58			

## Retail clients

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### Equities

#### Venues

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity bands 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	66,70	64,27	57,86	42,14	
<b>CHIX</b>	14,41	22,05	0	100	
<b>CHID</b>	7,64	2,20	0	100	
<b>XCSE</b>	3,71	2,76	59,57	40,43	
<b>BATE</b>	2,61	5,33	0	100	

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity bands 3 and 4				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	76,93	76,24	58,3	41,7	
<b>CHID</b>	5,65	1,64	0	100	
<b>CHIX</b>	4,76	6,82	0	100	
<b>SSME</b>	4,48	5,67	54,03	45,97	
<b>BATE</b>	2,28	4,33	0	100	

<b>Class of Instrument</b>	Equities – Shares & Depository Receipts, Tick size liquidity band 1 and 2				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	N				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
<b>XSTO</b>	40,99	46,62	55,68	44,32	
<b>SSME</b>	32,25	22,13	49,93	50,07	
<b>FNSE</b>	8,01	10,53	49,11	50,89	
<b>XHEL</b>	7,56	3,87	100	0	
<b>XSAT</b>	4,57	9,96	84,42	15,58	

## Brokers

Class of Instrument	Equities – Shares & Depositary Receipts, Tick size liquidity bands 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Danske Bank A/S</b> (MAES062Z21O4RZ2U7M96)	30,50	4,25			
<b>Joh. Berenberg, Gossler &amp; Co.</b> (529900UC2OD7II24Z667)	29,96	2,13			
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	14,71	50,33			
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	12,68	27,26			
<b>UBS</b> (5299007QVIQ71O64NX37)	9,83	15,80			

<b>Class of Instrument</b>	Equities – Shares & Depositary Receipts, Tick size liquidity bands 3 and 4				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	N				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
<b>Joh. Berenberg, Gossler &amp; Co.</b> (529900UC2OD7II24Z667)	65,55	7,13			
<b>Danske Bank A/S</b> (MAES062Z21O4RZ2U7M96)	15,48	6,80			
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	9,01	16,71			
<b>Goldman Sachs</b> (W22LROWP2IHZNBB6K528)	4,21	47,64			
<b>SEB</b> (F3JS33DEI6XQ4ZBPTN86)	2,94	0,43			



<b>Class of Instrument</b>	Equities – Shares & Depositary Receipts, Tick size liquidity band 1 and 2				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	N				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
<b>Joh. Berenberg, Gossler &amp; Co.</b> (529900UC2OD7II24Z667)	89,17	33,53			
<b>Danske Bank A/S</b> (MAES062Z21O4RZ2U7M96)	5,11	0,76			
<b>Stifel Nicolaus Europe Limited</b> (213800BVEFNZ8UYPKL03)	2,83	0,04			
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	2,70	56,97			
<b>UBS</b> (5299007QVIQ71O64NX37)	0,13	5,41			



## Debt Instruments

### Venues

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>CASI</b>	89,59	71,14			
<b>XSTO</b>	7,28	24,80			
<b>OTC</b>	3,07	3,66			
<b>XNGM</b>	0,06	0,41			

**Brokers**

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Jyske Bank</b> (3M5E1GQGKL17HI6CPN30)	33,56	50,84			
<b>Nykredit Bank</b> (52965FONQ5NZKP0WZL45)	32,61	18,57			
<b>Danske Bank</b> (MAES062Z21O4RZ2U7M96)	14,64	10,20			
<b>Nordea</b> (213800HW2E5VN9FK1Y53)	9,01	5,58			
<b>Lind Capital</b> (5299000RWD2J46DMCW33)	4,02	8,69			



## Currency Derivatives

### Brokers

Class of Instrument	Currency derivatives, Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Nordea</b> (213800HW2E5VN9FK1Y53)	84,47	83,33			
<b>SEB</b> (F3JS33DEI6XQ4ZBPTN86)	15,53	16,67			



## Structured Finance Instruments

### Venues

Class of Instrument	Structured finance instruments				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	91,80	64,29	46,53	53,47	
<b>FNSE</b>	7,27	34,38	7,79	92,21	
<b>XNGM</b>	0,93	1,34	66,67	33,33	



## Equity Derivatives

### Venues

Class of Instrument	Equity Derivatives, Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>SEED</b>	100	99,97	94,83	5,17	
<b>DKED</b>	0	0,03	0	100	

Class of Instrument	Equity Derivatives, Other securitized Derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>SEED</b>	100	100	62,5	37,5	



## Securitized Derivatives

### Venues

Class of Instrument	Securitized Derivatives, Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>FNSE</b>	85,2	63,97	20,69	79,31	
<b>NMTF</b>	14,8	36,03	61,22	38,78	



Class of Instrument	Securitized Derivatives, Other securitized derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	39,27	24,65	48,96	51,04	
<b>SSME</b>	23,62	36,07	43,77	56,23	
<b>NMTF</b>	16,07	5,78	86,67	13,33	
<b>FNSE</b>	15,59	25,16	52,55	47,45	
<b>XSAT</b>	5,44	7,96	75,81	24,19	



Brokers

Class of Instrument	Securitized Derivatives, Other securitized derivatives				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	69,54	54,55			
<b>Carnegie ASA</b> (5967007LIEEXZX57BC18)	30,46	45,45			



## Exchange Traded Products

### Venues

Class of Instrument	Exchange traded products				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>XSTO</b>	98,72	99,20	46,39	53,61	
<b>CHIX</b>	1,28	0,80	0	100	

**Brokers**

Class of Instrument	Exchange traded products				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Jane Street</b> (5493002N1IVX6KHGYO08)	61,93	0,69			
<b>Joh. Berenberg, Gossler &amp; Co.</b> (529900UC2OD7II24Z667)	14,59	16,27			
<b>SEB</b> (F3JS33DEI6XQ4ZBPTN86)	7,58	3,94			
<b>Virtu ITG</b> (213800EEC95PRUCEUP63)	4,59	74,90			
<b>Societe Generale</b> (O2RNE8IBXP4R0TD8PU41)	4,44	0,73			



Other

Brokers

Class of Instrument	Stock Loan				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
<b>Nordea</b> (213800HW2E5VN9FK1Y53)	53,80	58,93			
<b>SEB</b> (F3JS33DEI6XQ4ZBPTN86)	26,41	20,49			
<b>SHB</b> (NHBDILHZTYCNBV5UYZ31)	19,79	20,58			